



27123

↑CUSTOMER NUMBER↑

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA)

| | |
|----------------------|------------------|
| Application No. | 10/630,407 |
| Filing Date | July 30, 2003 |
| First Named Inventor | Toshihiro SUNAGA |
| Group Art Unit | 2851 |
| Examiner Name | M. Koval |
| Atty Docket No. | 1232-5088 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission under 37 C.F.R. §1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on _____.
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
iii. ☐ Other:
- b. ☒ Enclosed
- i. ☒ Preliminary Amendment
ii. ☐ Affidavit(s)/Declaration(s)
iii. ☒ Information Disclosure Statement (IDS)
iv. ☒ Other: Amendment Fee Transmittal and Check for \$54.00

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)
- b. Other:

3. **Fees**

The RCE fee under C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 13-4503,
- i. ☐ RCE fee required under 37 C.F.R. §1.17(e)
ii. ☐ Extension of time fee (37 C.F.R. §§1.136 and 1.17)
iii. ☐ Other
- b. ☒ Check in the amount of \$790.00 enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 13-4503 Order No.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|-----------------|-----------------------|----------|
| Name (Print/Type) | John A. Harroun | Reg. No. (Atty/Agent) | 46,339 |
| Signature | | Date | 10/22/04 |

10/25/2004 SDENB01 00000077 10630407

01 FC:1801
02 FC:1202

790.00 OP
54.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Toshihiro SUNAGA, et al.

Group Art Unit: 2851

Serial No.: 10/630,407

Examiner: M. Koval

Filed: July 30, 2003

For: PROJECTION OPTICAL SYSTEM, PROJECTION TYPE IMAGE DISPLAY
APPARATUS, AND IMAGE DISPLAY SYSTEM

PRELIMINARY AMENDMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination on the merits, please enter this Preliminary Amendment in the above-identified application. A Request for Continued Examination (RCE) and Information Disclosure Statement (IDS) are being submitted concurrently herewith.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 9 of this paper.

Docket No. 1232-5088



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Toshihiro SUNAGA, et al.

Group Art Unit: 2851

Serial No.: 10/630,407

Examiner: M. Koval

Filed: July 30, 2003

For: PROJECTION OPTICAL SYSTEM, PROJECTION TYPE IMAGE
DISPLAY APPARATUS, AND IMAGE DISPLAY SYSTEM

AMENDMENT FEE TRANSMITTAL

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☐ No additional fee is required.

☐ The additional fee has been calculated as shown below:

CLAIMS AS AMENDED

| | Claims Remaining After Amendment | Highest No. Covered by Previous Payments | Extra | Rate | Additional Fee |
|---------------------------------|--|---|-------|------------------|-------------------|
| Total Claims* | 23- 20 = | 20 | 3 | \$18.00/ \$9.00 | \$ 54.00 |
| Independent Claims | 2- 3 = | 3 | 0 | \$88.00/ \$44.00 | \$ |
| Multiple Dependent Claims | (If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$300.00 to additional fee (\$150.00 for small entity). | | | | \$ |
| TOTAL | | | | | \$ 54.00 |

*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

- ☐ Small entity status is or has been claimed.
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$_____
- ☐ _____ Pages Sequence Listing
- ☐ _____ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☒ A check in the amount of \$54.00 to cover the filing fee is attached.
- ☐ Charge fee to Deposit Account No. 13-4503, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-5088.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: 10/22/2004

By: John A. Harroun
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